

UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF COLUMBIA

<hr/>		:
SECURITIES AND EXCHANGE COMMISSION,		:
		:
Plaintiff,		:
		:
v.		: Civil Action No.
		: 07-CV-1728-RCL
FEDERAL HOME LOAN		:
MORTGAGE CORPORATION,		:
DAVID W. GLENN,		:
VAUGHN A. CLARKE		:
ROBERT C. DEAN, and		:
NAZIR G. DOSSANI,		:
		:
Defendant.		:
<hr/>		:

STATUS REPORT

Plaintiff Securities and Exchange Commission (the “Commission”), respectfully submits, as Attachment 1 hereto, the Declaration of Nashira McCoy Regarding Status of Distribution Activities. The Declaration is a status report provided by the Distribution Agent of its recent activities.

Dated: Miami, Florida
March 17, 2017

Respectfully submitted,

/s/ Sondra H. Panahi
SONDRA H. PANAHI
Securities and Exchange Commission
Office of Distributions
801 Brickell Avenue
Miami, Florida 33131
Tel.: 305-982-6337
E-mail: panahis@sec.gov

CERTIFICATE OF SERVICE

I, Toyya Azian, hereby certify that, on March 17, 2017, I caused a copy of the foregoing document to be filed with the Court's CM/ECF system, which will automatically send a copy of the document to all counsel of record.

/s/ Toyya Azian
Toyya Azian

UNITED STATES DISTRICT COURT
 FOR THE DISTRICT OF COLUMBIA

SECURITIES AND EXCHANGE COMMISSION	:	
	:	
Plaintiff,	:	
	:	
v.	:	
	:	Civil Action No.
FEDERAL HOME LOAN MORTGAGE CORPORATION,	:	07-CV-1728-RCL
DAVID W. GLENN,	:	
VAUGHN A. CLARKE,	:	
ROBERT C. DEAN, and	:	
NAZIR G. DOSSANI,	:	
Defendants.	:	
	:	
	:	
	:	
	:	
	:	
	:	

**DECLARATION OF NASHIRA MCCOY REGARDING STATUS OF DISTRIBUTION
 ACTIVITIES**

I, Nashira McCoy, declare:

1. I submit this declaration in order to provide the Court and the parties to the above-captioned litigation with the status of the administration of the Freddie Mac Fair Fund¹ information regarding the creation, mailing and posting of the Distribution Plan Notice and other case documents to the settlement website at www.FreddieMacFairFund.com and the establishment a settlement-specific toll-free telephone number and electronic mail (e-mail) box. I am over 21 years of age and am not a party to this action. I have personal knowledge of the facts set forth herein and, if called as a witness, could and would testify competently thereto.

2. I am employed by Gilardi & Co. LLC (“Gilardi”), located at 3301 Kerner Blvd., San Rafael, California. On September 18, 2013, the Court appointed Gilardi as the Distribution Agent to oversee the distribution to injured investors of the Fair Fund established in this matter. (Docket #50). This declaration is being submitted to report on the activities of the Distribution

¹ Capitalized terms not defined herein shall have the same meaning ascribed in the Distribution Plan, Dkt 66-1.

Agent pursuant to the Distribution Plan of the Freddie Mac Fair Fund (the “Distribution Plan”) approved by this Court on December 28, 2016. (Docket #66 and #67.) I oversaw the notice services and other distribution activities Gilardi provided in accordance with the Distribution Plan.

DESIGN AND MAILING OF DISTRIBUTION PLAN NOTICE

3. Pursuant to Paragraph 43 (a) of the Distribution Plan, Gilardi coordinated with the Commission staff to draft a Distribution Plan Notice and Proof of Claim Form (collectively the “Claim Packet”). The Claim Packet includes a notice to Potentially Eligible Claimants of their potential right to participate in the distribution of the Freddie Mac Fair Fund if they purchased Freddie Mac common stock shares at any time between April 18, 2000 and June 8, 2003, inclusive (the ‘Eligible Trading Period’), instructions for submitting a Proof of Claim Form, and provided the Filing Deadline. A true and correct copy of the Claim Packet is attached hereto as Exhibit A

4. In accordance with Paragraph 43 (b) of the Distribution Plan, Gilardi created a Potentially Eligible Claimant database. To create this database, Gilardi obtained a list of persons who purchased Freddie Mac common stock shares during the Eligible Trading Period from the transfer agent. The list was reviewed to identify and eliminate duplicate entries and incomplete data, resulting in a usable mailing list of 3,421 unique names and addresses. In accordance with Paragraph 43 (c) of the Distribution Plan, the de-duplicated names and addresses were processed through the National Change of Address Database to update any addresses on file with the United States Postal Service (“USPS”), and formatted for mailing purposes.

5. Pursuant to Paragraph 43 (d) of the Distribution Plan, within ninety (90) Days following the Court’s entry of its Order Approving the Distribution Plan -- on March 10, 2017,

the Claim Packets were mailed by United States First-Class Mail to all persons in the Potential Eligible Claimant database.

6. In accordance with Paragraph 43 (i) of the Distribution Plan, on March 10, 2017, Gilardi delivered electronic copies of the Claim Packet to 407 registered electronic filers who are qualified to submit electronic claims. These filers are primarily institutions and third-party filers who typically file numerous claims on behalf of beneficial owners for whom they act as trustees or fiduciaries.

7. In addition, on March 10, 2017, as part of its normal mailing procedures, Gilardi also mailed, by First-Class Mail, Claim Packets and cover letters to 4,930 brokerages, custodial banks, and other institutions (“Nominal Holders”) that hold securities in “street name” as nominees for the benefit of their customers who are the beneficial owners of the securities.

8. The foundation of the Nominal Holder list to which the Claim Packets was mailed is a proprietary database created and maintained by Gilardi. This database includes institutions from the Commission’s list of active brokers and dealers and a group of filers/institutions who have requested notifications of every case involving securities. The cover letter accompanying the Claim Packets advised the Nominal Holders of the Freddie Mac Fair Fund and requested their cooperation in forwarding Claim Packets to Potentially Eligible Claimants. In the over 25 years that Gilardi has been providing notice and claim administration services, Gilardi has found the majority of potential claimants hold their securities in street name and are notified through the Nominal Holders. A copy of the letter dated March 10, 2017, sent to Nominal Holders, is attached hereto as Exhibit B.

9. As part of the notice program, Gilardi caused the Claim Packet to be published by the Depository Trust Company (“DTC”) on the DTC Legal Notice System (“LENS”). LENS

enables the participating bank and broker nominees to review the Claim Packets and contact the Distribution Agent for copies of the Claim Packets for their beneficial holders.

FREDDIE MAC FAIR FUND ADMINISTRATION WEBSITE

10. In accordance with Paragraph 43(e) of the Distribution Plan, Gilardi established a website for the Freddie Mac Fair Fund. On or before March 10, 2017, Gilardi caused copies of the Distribution Plan Notice, Proof of Claim Form, Approval Order and other relevant information and documents to be posted to the Freddie Mac Fair Fund website at www.FreddieMacFairFund.com.

11. In accordance with Paragraph 43 (f) of the Distribution Plan, as of March 10, 2017, Gilardi also confirmed that the Commission staff posted relevant documents on the Information for Harmed Investors page of the Commission's public website, www.sec.gov/divisions/enforce/claims.htm and established a link to the Freddie Mac Fair Fund's website.

TOLL-FREE TELEPHONE NUMBER

12. Pursuant to Paragraph 43 (g) of the Distribution Plan, Gilardi maintains a toll-free number, (877) 401-1797, for Potentially Eligible Claimant telephone inquiries. Callers can speak with a live operator. This toll-free number has been operating since at least March 10, 2017.

ELECTRONIC MAIL (E-MAIL) BOX

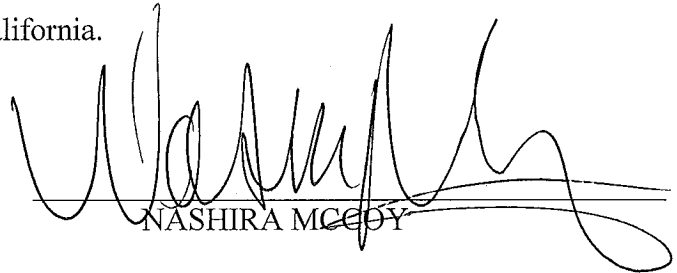
13. Gilardi created a mailbox specifically to receive Potentially Eligible Claimant inquiries related to the Freddie Mac Fair Fund. The mailbox info@FreddieMacFairFund.com was established and has been operational since at least March 10, 2017.

SUMMARY NOTICE PUBLICATION

14. In accordance with Paragraph 43 (h) of the Distribution Plan, Gilardi drafted a Summary Notice Publication to be posted on an Internet-based newswire service for thirty (30) Days, and received approval from the Commission staff.

15. The Summary Notice Publication is scheduled to be transmitted over the *PR Newswire* on March 20, 2017.

I declare under penalty of perjury under the laws of the United States of America and the State of California that the foregoing is true and correct and that this declaration was executed this 16th day of March 2017, at San Rafael, California.



NASHIRA MCCOY

EXHIBIT A

SECURITIES AND EXCHANGE COMMISSION,
Plaintiff,

v.

FEDERAL HOME LOAN MORTGAGE CORPORATION,
DAVID W. GLENN,
VAUGHN A. CLARKE,
ROBERT C. DEAN, and
NAZIR G. DOSSANI,
Defendants.

:
:
:
:
:
:
Civil Action No.
: 07-CV-1728-RCL
:
:
:

DISTRIBUTION PLAN NOTICE OF FREDDIE MAC FAIR FUND

If you purchased Federal Home Loan Mortgage Corporation (“Freddie Mac” or the “Company”) common stock at any time between April 18, 2000 and June 8, 2003, inclusive (“Eligible Trading Period”), and suffered a Recognized Loss per the Plan of Allocation, you may be entitled to receive a monetary Distribution Payment from the Freddie Mac Fair Fund.

On December 28, 2016, Chief Judge Royce C. Lamberth, United States District Judge for the District of Columbia issued an Order approving the Distribution Plan in the matter of SEC v. Federal Home Loan Mortgage Corporation, David W. Glenn, Vaughn A. Clarke, Robert C. Dean and Nazir G. Dossani (“Defendants”).

The Distribution Plan provides that the disgorged profits, prejudgment interest and civil penalties paid to the Clerk of the Court and placed into an interest-bearing account with the Court Registry Investment System (“CRIS”), approximately \$50.75 million (“Freddie Mac Fair Fund”), shall be distributed for the benefit of injured investors who purchased Freddie Mac common stock and suffered a Recognized Loss pursuant to the Plan of Allocation.

Participation in the distribution of the Freddie Mac Fair Fund will not result in the release of any rights or potential claims a claimant may have against any party (other than with respect to the Distribution Agent), including, but not limited to, any party’s past and present directors, officers, promoters, advisers, agents, affiliates, nominees, assigns, creditors, or controlled entities.

BACKGROUND OF THE CASE

Between 1998 and 2002, Freddie Mac, a company chartered by Congress for the purpose of providing a stable market for residential mortgage financing in the United States, engaged in fraudulent conduct that deceived investors about its true financial performance, profitability, and growth trends, and in 2000, 2001 and 2002 the Company misrepresented its net income in each of those years by 30.5%, 23.9% and 42.9%, respectively. Freddie Mac’s senior management exerted consistent pressure to have the Company report smooth and dependable earnings growth and to present investors with the image of a company that would continue to generate predictable and growing earnings. The Company’s violations were the direct result of this corporate culture that placed great emphasis on steady earnings, and a senior management that fostered a corporate image that was touted as “Steady Freddie” to the marketplace. The Company presented itself to investors as a company that consistently achieved high quality earnings growth when, in fact, the Company’s earnings were volatile and deeply impacted by changes in certain accounting rules.

The Company’s misconduct took varied forms. First, the Company engaged in a series of transactions having principally an accounting purpose that were designed to minimize or eliminate the true impact of changes in Generally Accepted Accounting Principles (“GAAP”) – specifically SFAS 133, which introduced additional earnings volatility – and that allowed the Company to falsely portray stable earnings growth and reduced income statement volatility. Second, the Company engaged in transactions that shifted income from the current periods into future periods, thereby enabling the Company to show a smooth growth pattern in earnings, and that assisted it in meeting future projections. The Company’s public statements failed to adequately inform investors of the impact of changes in the accounting regulations and thereby concealed the significance of these transactions from investors.

On September 27, 2007, the Securities and Exchange Commission (the “Commission” or “SEC”) filed a complaint claiming violations of the Securities Exchange Act of 1934 (“Exchange Act”) and the Securities Act of 1933 (“Securities Act”) by Defendant Freddie Mac, who directly or indirectly, by use of the means and instrumentalities of interstate commerce, the mails or the facilities of a national securities exchange, in connection with the purchase or sale of securities, had with knowledge or recklessly: (a) employed devices, schemes or artifices to defraud; (b) made untrue statements of material fact or omitted to state material facts necessary in order to make the statements made, in light of the circumstances under which they were made, not misleading; or (c) engaged in acts, practices or courses of business which operate or would operate as a fraud or deceit upon any person. The Commission further claimed violations of the Securities Act by Defendants Glenn, Clarke, Dean and Dossani for negligently, in the offer or sale of securities, by the use of the means or instruments of transportation and communication in interstate commerce or by use of the mails, directly or indirectly (a) obtaining money by means of an untrue statement of a material fact or an omission to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not

misleading; or (b) engaging in transactions, practices or courses of business which operated as a fraud or deceit upon the purchasers of such securities.

In the Final Judgments, the Defendants consented to permanent injunctions and paid disgorgement, pre-judgment interest and civil penalties to the Clerk of the Court. On September 17, 2013, the Court created a Fair Fund consisting of the funds in the Court registry accounts.

PLAN OF ALLOCATION

All capitalized terms not otherwise defined herein shall have the meanings set forth in the Distribution Plan.

For each share of Freddie Mac common stock purchased between April 18, 2000 and January 21, 2003, inclusive, and:

- a) Sold prior to January 22, 2003, the Recognized Loss per share shall be \$0.00.
- b) Sold between January 22, 2003 and June 8, 2003, inclusive, the Recognized Loss per share shall be \$1.70.
- c) Held at the close of trading on June 8, 2003, the Recognized Loss per share shall be \$10.53.

For each share of Freddie Mac common stock purchased between January 22, 2003 and June 8, 2003, inclusive, and:

- a) Sold prior to June 9, 2003, the Recognized Loss per share shall be \$0.00.
- b) Held at the close of trading on June 8, 2003, the Recognized Loss per share shall be \$8.84.

A Potentially Eligible Claimant will be eligible to receive a Distribution Payment from the Net Available Fund only if the Potentially Eligible Claimant had a net market loss. A market loss will be calculated as the difference between the purchase price per share and the sale price per share. If shares were retained at the close of trading on June 8, 2003, they will be assigned a sale value of \$50.26 per share for the purposes of market loss calculation. All market gains and market losses will be combined and thereafter netted against each other. In calculating the net market loss, the market loss (or gain) for all transactions in the Freddie Mac common stock within the Eligible Trading Period will be totaled. If a Potentially Eligible Claimant has an overall net market gain, or a net market loss equal to zero, the Potentially Eligible Claimant will not be eligible to receive a Distribution Payment from the Net Available Fund.

For Potentially Eligible Claimants who made multiple purchases, transfers, acquisitions, or sales during the Eligible Trading Period, the first-in, first-out ("FIFO") method will be applied to such holdings, purchases, acquisitions, transfers, and sales for purposes of calculating a claim. Under the FIFO method, shares sold during the Eligible Trading Period will be matched, in chronological order, against shares purchased during the Eligible Trading Period. Trades outside of this period will not be matched. See page 17 of the Distribution Plan for examples of FIFO matching.

The date of purchase or sale is the "contract" or "trade date" as distinguished from the "settlement date." The receipt of Eligible Securities during the Eligible Trading Period by gift, inheritance, devise, transfer, operation of law or other non-cash based acquisition transactions shall not be deemed to be a purchase of Eligible Securities during the Eligible Trading Period, nor shall it be deemed an assignment of any claim relating to the purchase of such securities unless specifically so provided in the instrument of transfer, gift or assignment. However, the recipient of the Eligible Securities as a transfer, gift, inheritance, devise or operation of law shall be eligible to file a Proof of Claim Form and participate in the distribution of the Freddie Mac Fair Fund, to the extent the particular donor or decedent, as the actual purchaser of Eligible Securities, would have been eligible based upon the original purchase of such Eligible Securities within the Eligible Trading Period. Such a claim will be computed by using the date and price of the original purchase and not the date and price of the transfer. However, the donee and the donor may not both make a claim with regard to the same Eligible Securities. If both the donor and the donee make such a claim, only the claim filed by the donee will be honored. Transfers out of an account will be treated as a sale, in which the sale price will be the closing price of the Eligible Security for that day.

Eligible Securities obtained through a merger or the exercising of options are considered to have been obtained through a purchase for purposes of this computation. For shares obtained through a merger, the purchase date is considered to be the actual date of the merger and/or acquisition and the price is the value per share assigned in the merger and/or acquisition. The purchase/sale date of Eligible Securities acquired through the exercising of an option is considered to be the exercise date of the option and the purchase/sale price of the stock is the exercise price of the option.

Distribution Payments will be made to Eligible Claimants after all claims have been processed and after approval has been received from the Courts and/or the Commission. No Distribution Payments will be made to Eligible Claimants whose *pro rata* portion of the Net Available Fund is less than \$20.00.

The previous provides only summary information regarding the action. We strongly recommend that you read the Distribution Plan, including the Plan of Allocation, and other relevant case documents in their entirety for more complete details. The documents can be found at www.FreddieMacFairFund.com. You may obtain more information by visiting the case website maintained by the Commission at <https://www.sec.gov/divisions/enforce/claims.htm>, by calling the dedicated toll-free number at (877) 401-1797 or by sending an email inquiry to info@FreddieMacFairFund.com

If you believe you are a Potentially Eligible Claimant and would like to participate, follow the instructions found on page 3 to complete the Proof of Claim Form included in this packet. Fill out the Proof of Claim Form completely, sign it, include copies of all required supporting documentation, and return in the enclosed envelope.

PROOF OF CLAIM FORM INSTRUCTIONS

To be eligible to receive payment, you must complete and, on page 4 hereof, sign the Proof of Claim Form. If you fail to submit a properly addressed Proof of Claim Form as set forth below, your claim may be rejected and you may be precluded from any recovery from the Fair Fund.

Submission of this Proof of Claim Form, however, does not assure that you will share in the distribution of the Fair Fund. All final decisions regarding eligibility are made by the Court and/or the Commission.

YOU MUST MAIL YOUR COMPLETED AND SIGNED PROOF OF CLAIM FORM NO LATER THAN JULY 10, 2017 (THE "FILING DEADLINE"), ADDRESSED AS FOLLOWS, TO BE INCLUDED IN ANY DISTRIBUTION FROM THE FAIR FUND:

Freddie Mac Fair Fund
c/o Gilardi & Co. LLC
Distribution Agent
P.O. Box 43452
Providence, RI 02940-3452

If you are NOT a Potentially Eligible Claimant (as defined in the Distribution Plan), DO NOT submit a Proof of Claim Form.

Please keep a copy of your completed Proof of Claim Form submission and supporting documentation for your records.

Confirmations of receipt of Proof of Claim Form submissions may not be available until after the Filing Deadline, therefore, if you require acknowledgment, please send your Proof of Claim Form submission Certified Mail, Return Receipt. Submissions sent via email will not be considered properly submitted and will not be accepted.

CLAIMANT IDENTIFICATION

If you purchased or acquired shares of Federal Home Loan Mortgage Corporation ("Freddie Mac") common stock at any time between April 18, 2000 and June 8, 2003, inclusive, and held the certificate(s) in your name, you are the beneficial owner as well as the owner of record. If, however, you purchased or acquired Freddie Mac common stock that was registered in the name of a third party, such as a nominee or brokerage firm, you are the beneficial owner and the third party is the owner of record.

Use Part I of the Proof of Claim Form, entitled "Claimant Identification," to identify each beneficial owner and each owner of record ("nominee"), if such nominee is different from the beneficial owner. If there is more than one beneficial owner, all owners must be identified and must sign the claim. THE PROOF OF CLAIM FORM MUST BE FILED BY THE ACTUAL BENEFICIAL OWNER(S) OR THE LEGAL REPRESENTATIVE OF SUCH OWNER(S) OF THE FREDDIE MAC COMMON STOCK UPON WHICH THIS CLAIM IS BASED.

All joint owners must complete and sign this claim. If you are acting in a representative capacity on behalf of a Potentially Eligible Claimant (for example, as an executor, administrator, trustee, or other representative), you must complete and sign this claim on behalf of persons represented by them, include evidence of your current authority to act on their behalf and your titles or capacities must be stated. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents.

The Social Security (or Taxpayer Identification) Number and telephone number of the beneficial owner is required and may be used in verifying the claim. Failure to provide the foregoing information could delay verification of your claim or result in rejection of the claim.

Claims on behalf of a retirement plan covered by Section 3(3) of ERISA, 29 U.S.C. § 1002(3), which do not include Individual Retirement Accounts, and such plan's participants, are properly made by the custodian or fiduciary of the plan and not by the plan's participants.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions (50+) may request to, or may be requested to, submit information regarding their transactions in electronic files. All claimants MUST submit a manually signed paper Proof of Claim Form listing all their transactions, whether or not they also submit electronic copies. If you wish to file your claim electronically, you must contact the Distribution Agent at institutions@gilardi.com to obtain the required file layout. No electronic files will be considered to have been properly submitted unless the Distribution Agent issues to the claimant a written acknowledgement of receipt and acceptance of electronically submitted data.

TRANSACTION IDENTIFICATION

Use Part II, entitled "Schedule of Transactions in Freddie Mac Common Stock", to supply all required information regarding your transactions of Freddie Mac common stock at any time between April 18, 2000 and June 8, 2003, inclusive. The Distribution Agent will need to collect all transaction information to help determine if you have an eligible claim. If you require additional space to list your transactions, please make photocopies of the schedule on page 2, print or type your name on each additional copy, fill the bubble at the bottom of the schedule and attach the additional sheets.

On the schedules, provide all of the requested information with respect to *all* of your purchases and sales between April 18, 2000 and June 8, 2003, inclusive, regardless of whether such transactions resulted in a gain or a loss. Failure to report all such transactions may result in the rejection of your claim.

For transactions, you must accurately enter the trade date, number of shares you purchased and/or sold, and the total price you paid and proceeds you received, if any (excluding fees and commissions). The date of the purchase or the sale is the "contract" or "trade" date, not the "settlement" date. Transactions must have occurred between April 18, 2000 and June 8, 2003, inclusive. List each transaction separately and in chronological order by trade date, beginning with the earliest.

Copies of broker confirmations or other documentation of your transactions in Freddie Mac must be included with your claim submission. Do not send originals as they will not be returned. Failure to provide this documentation could delay verification and processing of your claim or result in rejection of your claim.

The Proof of Claim Form is designed to provide the minimum amount of information necessary to process simple claims. The Distribution Agent may request additional information as required to efficiently and reliably calculate your losses. In some cases where the Distribution Agent cannot perform the calculation accurately at a reasonable cost with the information provided, the Distribution Agent may condition acceptance of the claim upon the production of additional information and/or the hiring of an accounting expert at the Potentially Eligible Claimant's cost.

Distributions from the Freddie Mac Fair Fund are subject to a Distribution De Minimis Amount of \$20.00. The Distribution De Minimis Amount shall mean the specified dollar amount that an approved claim must equal or exceed, after pro-rated payment awards are calculated.

Submission of this Proof of Claim Form does not assure that you will share in the proceeds of the Freddie Mac Fair Fund. The amount you may receive will be determined in accordance with the Plan of Allocation as incorporated in the Distribution Plan. A final determination as to whether you will receive a Distribution Payment, and the amount, is made by the Court-appointed Distribution Agent.

SPECIAL NOTICE TO BANKS, BROKERS AND NOMINEES

If you purchased Freddie Mac common stock during the Eligible Trading Period for the beneficial interest of a person or organization other than yourself, then, WITHIN THIRTY (30) DAYS OF YOUR RECEIPT OF THIS NOTICE, you must either: (a) provide to the Distribution Agent the name and last known address of each person or organization for whom or which you purchased or acquired such securities during such time period, or (b) request additional copies of this Notice and the Proof of Claim Form, which will be provided to you free of charge, and within THIRTY (30) days mail the Notice and Proof of Claim Form directly to the beneficial purchaser of the securities referred to herein. If you choose to follow alternative procedure (b), upon such mailing, you must send a statement to the Distribution Agent confirming that the mailing was made as directed. You are entitled to reimbursement of your reasonable expenses actually incurred in connection with the foregoing, including reimbursement of postage expense and the cost of ascertaining the names and addresses of beneficial purchasers. Those expenses will be paid upon request and submission of appropriate supporting documentation. All communications concerning the foregoing should be addressed to the Distribution Agent at:

Freddie Mac Fair Fund
c/o Gilardi & Co. LLC
Distribution Agent
P.O. Box 43452
Providence, RI 02940-3452

Dated: March 10, 2017



Official Office Use Only



UNITED STATES DISTRICT COURT FOR THE DISTRICT OF COLUMBIA SEC v. Federal Home Loan Mortgage Corporation, et al.

Civil Action No. 07-CV-1728-RCL

PROOF OF CLAIM FORM

Please Type or Print in the Boxes Below Do NOT use Red Ink, Pencil, or Staples

Must Be Postmarked No Later Than July 10, 2017

SECFM



PART I: CLAIMANT IDENTIFICATION

Last Name M.I. First Name

Last Name (Co-Beneficial Owner) M.I. First Name (Co-Beneficial Owner)

IRA Joint Tenancy Employee Individual Other (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

Trustee/Asset Manager/Nominee/Owner's of Record Name (If Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Social Security Number Taxpayer Identification Number

Telephone Number (Primary Daytime) Telephone Number (Alternate)

Email Address

MAILING INFORMATION

Address

Address

City State Zip Code

Foreign Province Foreign Postal Code Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY OB CB ATP BE FL OP KE DR ME RE ICI EM ND SH MM/DD/YYYY FOR CLAIMS PROCESSING ONLY



A. Number of shares of Freddie Mac common stock held at the close of trading on April 17, 2000:

Proof Enclosed?

Y
 N

--	--	--	--	--	--	--	--	--	--

B. Purchases of Freddie Mac common stock between April 18, 2000 – June 8, 2003, inclusive:

PURCHASES

Trade Date(s) of Shares (List Chronologically)		Number of Shares Purchased or Acquired	Total Purchase or Acquisition Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar	Proof of Purchase Enclosed?								
M	M	D	D	Y	Y	Y	Y					
1.												<input type="radio"/> Y <input type="radio"/> N
2.												<input type="radio"/> Y <input type="radio"/> N
3.												<input type="radio"/> Y <input type="radio"/> N
4.												<input type="radio"/> Y <input type="radio"/> N
5.												<input type="radio"/> Y <input type="radio"/> N

IMPORTANT: If any purchase listed covered a "short sale," please mark Yes: Yes

If you received shares through an acquisition or merger, please identify the date, the share amount and the company acquired:

M M D D Y Y Y Y
/ /

Merger Shares:
/ / / / / / / /

Company: _____

B. Sales of Freddie Mac common stock between April 18, 2000 – June 8, 2003, inclusive:

SALES

Trade Date(s) of Shares (List Chronologically)		Number of Shares Sold	Total Sales Price (Excluding Commissions, Taxes and Fees) Please round off to the nearest whole dollar	Proof of Sales Enclosed?								
M	M	D	D	Y	Y	Y	Y					
1.												<input type="radio"/> Y <input type="radio"/> N
2.												<input type="radio"/> Y <input type="radio"/> N
3.												<input type="radio"/> Y <input type="radio"/> N
4.												<input type="radio"/> Y <input type="radio"/> N
5.												<input type="radio"/> Y <input type="radio"/> N

C. Number of shares of Freddie Mac common stock held at the close of trading on June 8, 2003:

Proof Enclosed?

Y
 N

--	--	--	--	--	--	--	--	--	--

IF YOU NEED MORE SPACE TO LIST YOUR TRANSACTIONS YOU MAY PHOTOCOPIY THIS PAGE,
WRITE AND SIGN YOUR NAME ON ANY ADDITIONAL PAGES AND FILL IN THIS CIRCLE:
IF YOU DO NOT FILL THE CIRCLE, THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.



PART III. CERTIFICATION

I (WE) CERTIFY THAT THE SOCIAL SECURITY NUMBER/TAX IDENTIFICATION NUMBER PROVIDED IS CORRECT.

I (WE) CERTIFY THAT I AM (WE ARE) NOT SUBJECT TO BACKUP WITHHOLDING UNDER THE PROVISIONS OF SECTION 3406(A)(1)(C) OF THE INTERNAL REVENUE CODE.

I (WE) HEREBY WARRANT AND REPRESENT THAT I (WE) HAVE INCLUDED ALL REQUESTED INFORMATION ABOUT ALL OF MY (OUR) PURCHASES AND SALES OF FREDDIE MAC COMMON STOCK BETWEEN APRIL 18, 2000 AND JUNE 8, 2003, INCLUSIVE.

NOTE: IF YOU HAVE BEEN NOTIFIED BY THE INTERNAL REVENUE SERVICE THAT YOU ARE SUBJECT TO BACKUP WITHHOLDING, PLEASE STRIKE OUT THE LANGUAGE THAT YOU ARE NOT SUBJECT TO BACKUP WITHHOLDING IN THE CERTIFICATION ABOVE AND FILL IN THE CIRCLE. ○

I (WE) DECLARE UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE UNITED STATES OF AMERICA THAT THE FOREGOING INFORMATION SUPPLIED ON THIS PROOF OF CLAIM FORM BY THE UNDERSIGNED IS TRUE AND CORRECT.

Executed this _____ day of _____ in _____
 (Month/Year) (City/State/Country)

 (Sign your name here)

 (Sign your name here)

 (Type or print your name here)

 (Type or print your name here)

 (Capacity of person(s) signing, e.g.,
 Beneficial Purchaser, Executor or Administrator)

 (Capacity of person(s) signing, e.g.,
 Beneficial Purchaser, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.
 THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

- | | |
|---|---|
| <ol style="list-style-type: none"> 1. Please sign the above certification. 2. If this Proof of Claim Form is being made on behalf of joint owners, then both must sign. 3. Remember to attach copies of supporting documentation, if available. 4. Do not send originals of certificates or other documentation as they will not be returned. 5. Keep a copy of your Proof of Claim Form and all supporting documentation for your records. | <ol style="list-style-type: none"> 6. If you desire an acknowledgment of receipt of your Proof of Claim Form, please send it Certified Mail, Return Receipt Requested. 7. If you move, please send your new address via email to info@FreddieMacFairFund.com or via mail to:
 <div style="text-align: center; margin-top: 5px;"> <i>Freddie Mac Fair Fund</i>
 c/o Gilardi & Co. LLC
 Distribution Agent
 P.O. Box 43452
 Providence, RI 02940-3452 </div> 8. Do not use red pen or highlighter on the Proof of Claim Form or supporting documentation. |
|---|---|

**THIS PROOF OF CLAIM FORM MUST BE POSTMARKED NO LATER THAN JULY 10, 2017,
 ADDRESSED AS FOLLOWS:**

Freddie Mac Fair Fund
 c/o Gilardi & Co. LLC
 Distribution Agent
 P.O. Box 43452
 Providence, RI 02940-3452



THIS PAGE INTENTIONALLY LEFT BLANK



EXHIBIT B



3301 Kerner Blvd.
San Rafael, CA 94901
P: (415) 461-0410
F: (415) 461-0412

March 10, 2017

«FirstName» «LastName»
«Company»
«Addr1»
«Addr2»
Cincinnati, OH 45202
«FCountry»

Re: *SEC v. Federal Home Loan Mortgage Corporation ("Freddie Mac"), et al.*

Dear «GENDER» «LastName»:

Please find enclosed the Distribution Plan Notice of Freddie Mac Fair Fund (the "Notice") and Proof of Claim for the above referenced litigation. Please note the Eligible Trading Period and the Eligible Securities described on page one of the Notice, specifically the inclusion of all persons who purchased Freddie Mac common stock at any time between April 18, 2000 and June 8, 2003, inclusive.

Please pay particular attention to the "Special Notice to Banks, Brokers and Other Nominees" on page four of the Notice, which requires action **WITHIN THIRTY (30) DAYS OF YOUR RECEIPT OF THIS NOTICE**.

Additional copies of the appropriate documents for delivery to your clients may be requested by contacting us at the above address and/or phone number. Please do not make your own copies of the Proof of Claim, as copies may not be accepted for processing. If you would like us to mail the Notice and Proof of Claim on your behalf, please submit names and addresses either via email to Notifications@Gilardi.com, via CD Rom to the above address or call (415) 458-3015 to obtain secure FTP transmission instructions. Mailing labels will also be accepted, but you must provide an electronic copy of the address information you send.

The data provided must be in one of the following formats:

- ASCII Fixed Length file
- ASCII Tab Delimited file
- Microsoft Excel spreadsheet

Do not include any confidential information that should not appear on a mailing label. Your request must also specify the case name and control total(s) for each file submission.

You must reply within thirty days. If you cannot provide an electronic dataset or labels or do not need copies, you must send a statement affirming that you do not have relevant client information for noticing. **We will report to the Securities and Exchange Commission, on a regular basis, the status of all banks, broker and other nominees who are completely non-responsive.**

Reasonable expenses actually incurred in connection with the foregoing will be paid upon request when submitted with the appropriate supporting documentation no later than May 1, 2017 and must be sent to Notifications@Gilardi.com. Please note that invoices which are submitted after the specified deadline, which are submitted incorrectly, or which do not include documentation sufficiently supporting expenses actually incurred as described in the Notice may not be paid.

If you have any questions, please call (415) 458-3015.

Sincerely,
Gilardi & Co. LLC